

CO-CPS: A sample XSTAMPP usage in V2I traffic management scenario based on STAMP model

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SYSTEM & SOFTWARE SAFETY
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Agenda

- **RoTechnology R&D Area**
- **V2I Traffic Management scenario**
- **The STAMP model**
- **Applying EWaSAP to System-of-Systems**
- **The XSTAMPP tool**
- **Wrap-up**



R&D Area

Participating in research projects fosters our technical capacities and nurtures our staff. Our main objective is the participation to the European programs in association with reference companies and universities.

 **MegaMert²** An scalable model-based framework for continuous development and runtime validation of complex systems
Coordinator: SOFTEAM, France

Teinvein Vehicle Interfacing System to the environment and other vehicles for sustainable mobility.
Coordinator: STMicroelectronics

SafeCOP Safety of cyber-physical systems, with wireless communication, multiple stakeholders in unpredictable environments.
Coordinator: Alten Sverige AB

Seamless A Geo-referenced system for data acquisition over a secure, encrypted and energy-efficient WSN
Coordinator: Ro Technology










- **V2I traffic management scenario in Safe Cooperating Cyber-Physical Systems using Wireless Communications (SafeCOP)**

V2I in SafeCOP

- Aims to provide an approach to the safety assurance of the Cooperative Open Cyber-Physical Systems (CO-CPS) for multiple stakeholders and variable operating environments
- Main objectives:
 - Security of communications
 - Security framework for runtime mechanisms
 - Traffic management application

UC1. Cooperative moving of empty hospital beds	UC2. Cooperative bathymetry w/ boat platoons	UC3. Vehicle control loss warning	UC4. Vehicles and roadside units interaction	UC5. V2I cooperation for traffic management
				

V2I in SafeCOP

Cooperative Awareness Messages (CAM)



Traffic Management Application



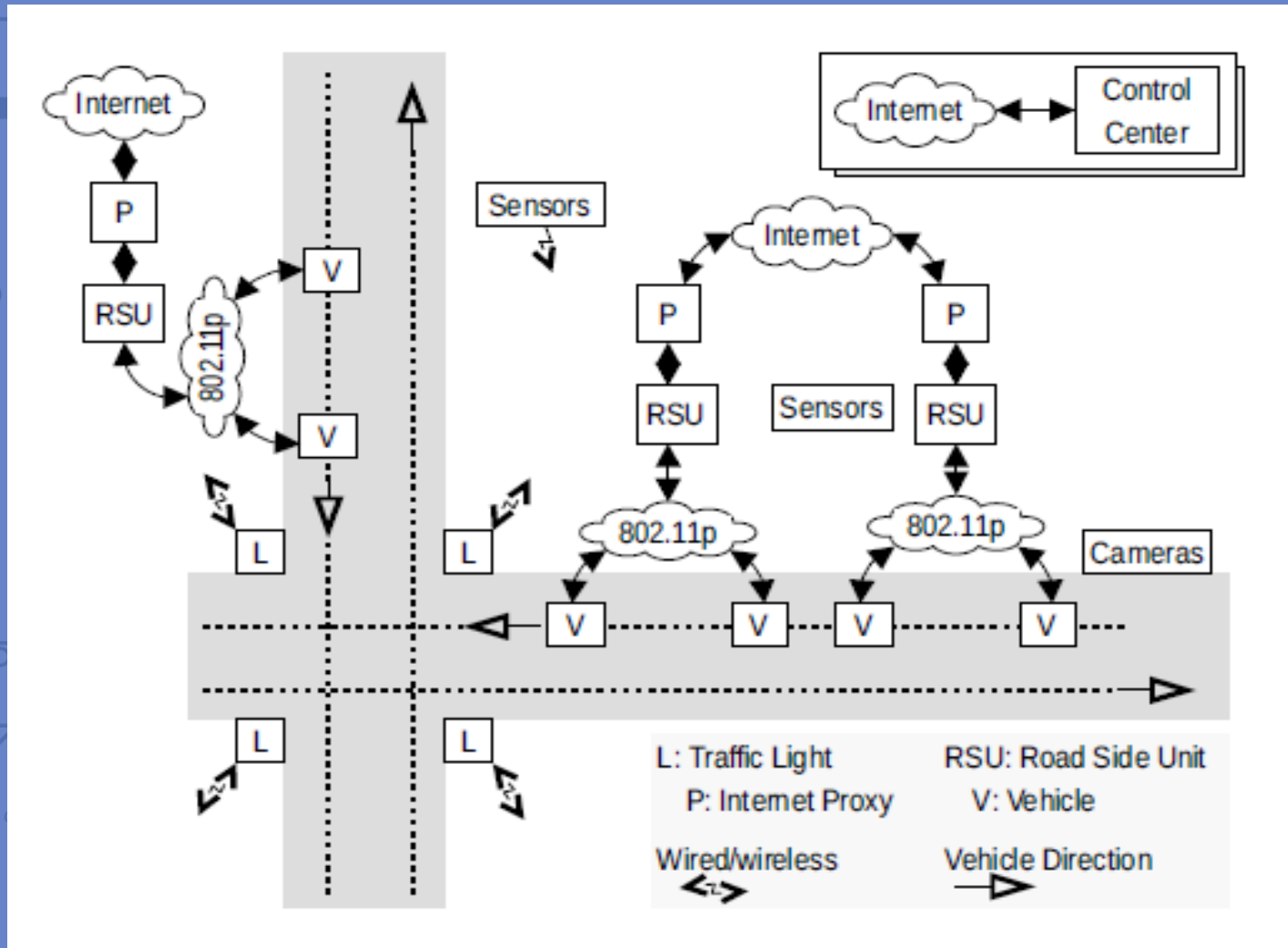
Green Light Optimal Speed Advisory (GLOSA)



Adaptive Traffic Light System (A-TLS)



V2I in SafeCOP



V2I industrial scenario Block Diagram

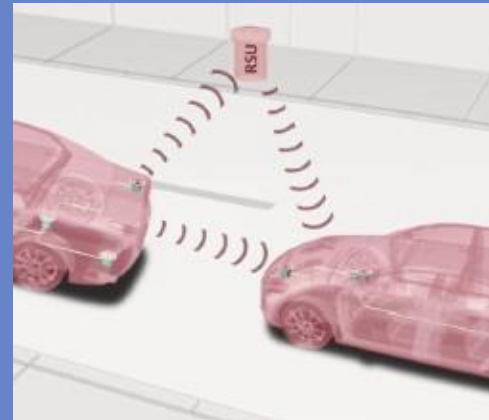
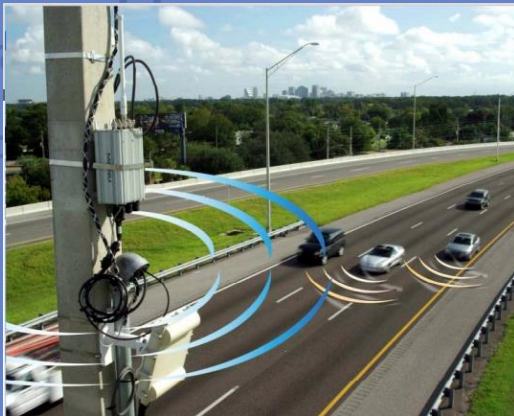
V2I in SafeCOP

- On Board Units (OBU) collect individual vehicle data:
 - Dynamic data (e.g. accelerations, angular speeds, magnetic field)
 - Position data (e.g. latitude/longitude, speed, heading)
 - Vehicle data (e.g. brake, engine rpm, gear)
- Main components:
 - 9-DOF inertial measurement unit
 - High-precision GPS receiver
 - CAN bus interface connected to the OBD
 - V2I connectivity module (e.g. 3G/4G, wireless 802.11.p)



V2I in SafeCOP

- Road Side Units (RSU) receive CAM from OBUs and is equipped with legacy sensors that detect passing vehicles.
- Main components:
 - Camera (provides video feed to the Control Center)
 - V2I connectivity module (e.g. 3G/4G, wireless 802.11.p, Wired)



V2I in SafeCOP

- Traffic Management Application **basic functions:**
 - Collect data, perform data fusion and determine vehicle types and their kinematics
 - Optimize and actuate the traffic light signaling plan in a coordinated manner
 - Compute and distribute to vehicles their optimal speeds



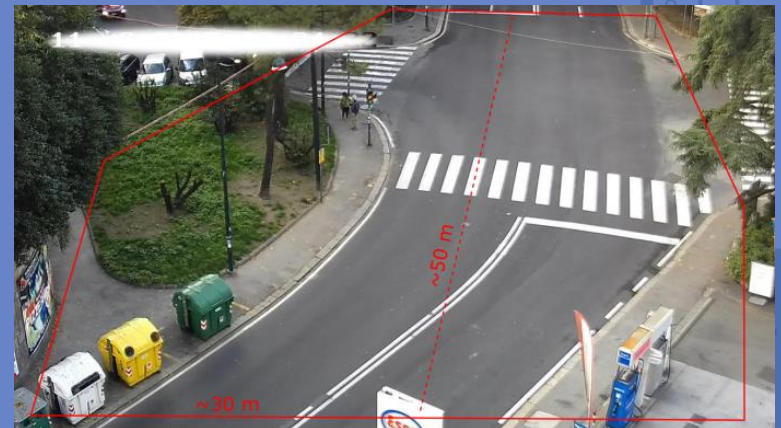
V2I in SafeCOP

- Traffic Management Application hazards functions:
 - Check for malicious attack to the wireless network
 - Monitor communication congestion/interruption
 - Detect dangerous traffic conditions
- Switching Neural Network (SNN) creates intelligible rules that requires a very small amount of computational resources allowing an efficient implementation on simple hardware devices (e.g. FPGA, 8-bit microcontroller)

```
if
  (level of congestion > threshold_1)
and
  (frequency of specific flags in the
   packet headers > threshold_2)
and
  (number of open sockets > threshold_3)
then
  (risk alert is above the acceptable
   threshold)
```

V2I in SafeCOP

- Video Content Analysis (VCA) platform running on the Control center is capable to extract information about potentially dangerous situations:
 - Presence of objects moving inside the reference area
 - Presence of motionless objects in the reference area for longer than a minimum time threshold
 - Detection of vehicles slowing down inside the scene
 - Vehicles moving in forbidden directions
 - Presence of people inside sensitive areas
 - Detection of dangerous environmental conditions (e.g. smoke, fog, fire) in sensitive areas





- **Systems-Theoretic Accident Model and Process (STAMP)**

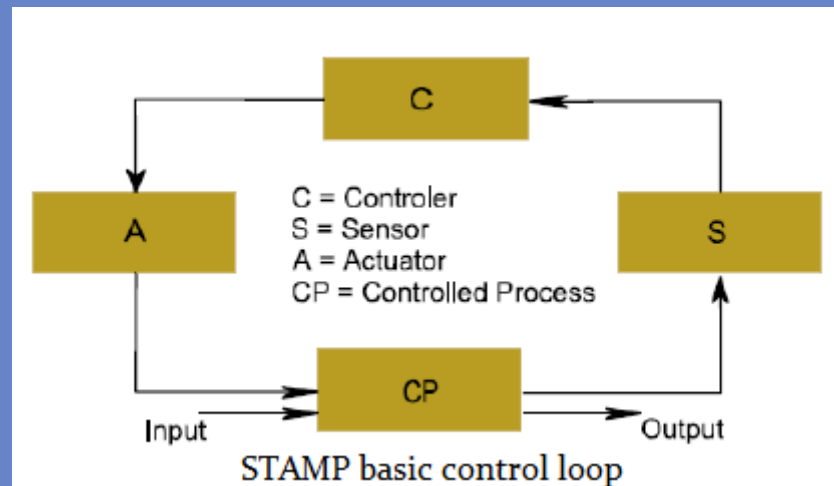
- Accident = undesirable or unplanned event that leads to a loss.



- Hazard = a system state or set of conditions that combined with the worst-case environmental conditions will lead to an accident.



- Accidents are treated as a control problem.
- Safety Constraints are enforced on component behaviour and interactions.



- System Theoretic Process Analysis (**STPA**) = developed to include the causal factors identified by STAMP methodology
- STPA for Security (**STPA-SEC**) = identify security vulnerability and requirements in addition to traditional STPA.
- Causal Analysis based on STAMP (**CAST**) = used to identify questions to fully understand why accidents occurs.
- Early Warning Sign Analysis based on the STPA (**EWaSAP**) = Aims to identify perceivable signs which indicate flaws in process control loops of the system

The background of the slide is a dark blue color with a light blue circuit board pattern. The pattern consists of various lines, circles, and nodes, resembling a printed circuit board (PCB) layout. The lines are of varying thicknesses and connect different circular nodes, some of which are larger than others. The overall effect is a technical and modern aesthetic.

- **Early Warning Sign Analysis based on the STPA (EWaSAP)**

- Top-Down approach
- Defines three steps :
 - 0) Identify hazards and accidents, initial control structure
 - 1) Define unsafe control actions

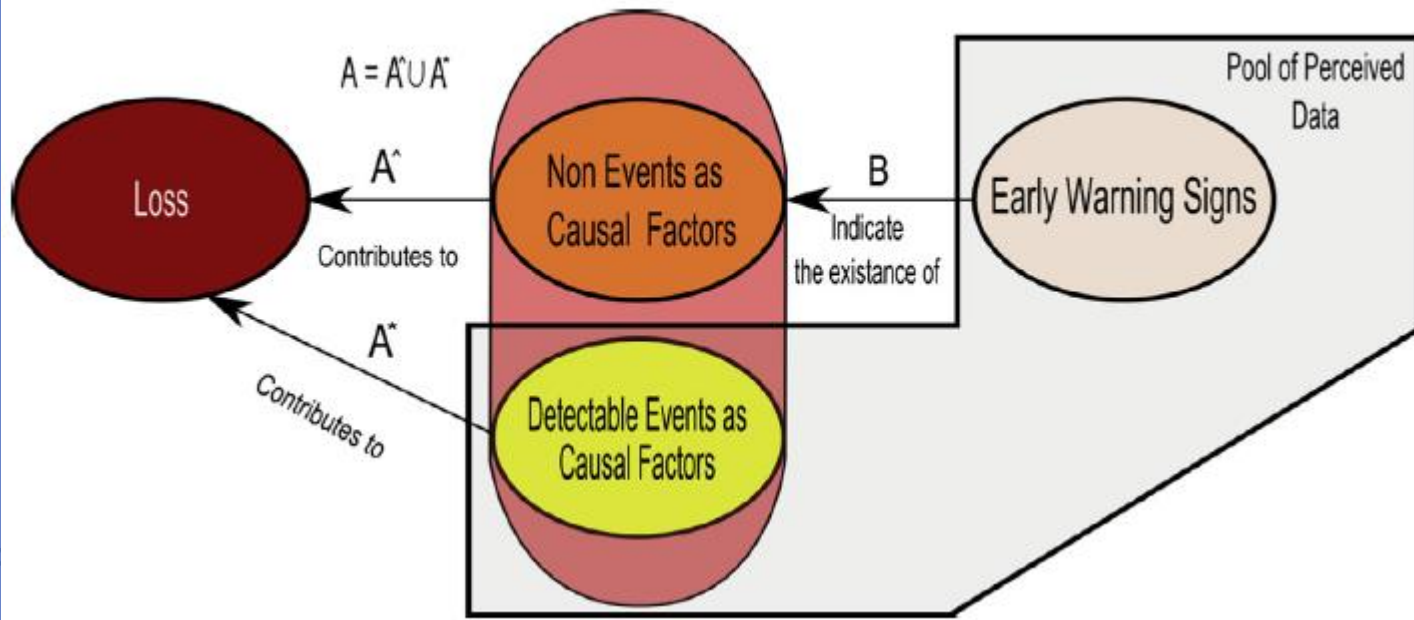
Control Action	Not providing causes hazard	Providing causes hazard	Too early/too late, wrong order causes hazard	Stopping too soon/applying too long causes hazard

2) Define causal scenarios:

- For each unsafe control action investigate the control loop to identify possible scenarios of how it could be triggered
 - For each control action, identify what can cause its inappropriate execution
- Output = Safety Requirements

- An extension of STPA analysis that adds awareness actions, enabling controllers to transmit warnings and alerts that justify the presence of flaws and vulnerabilities in their controlled process based on the process models they possess.
- Find factors that are out of the pool of the possible perceived data that traditional hazard analyses are unable to detect due to limitations of the inner nature of sequential accident models:
 - Managerial deficiencies
 - Safety culture flaws
 - Undesirable behaviours and interactions of system components
 - Software flaws
 - System changes due to evolution and adaptation that affect safety

I.M. Dokas et al. / Safety Science 58 (2013) 11–26



EWaSAP justification model

- Step 1: find anyone/anything outside the system who need to be informed about perceived progress status (e.g. emergencies operators)
- Step 2: identify useful tools (e.g. sensory devices) belong to systems outside the one in focus and establish synergy
- Step 3: Enforce Internal Awareness Actions

- Typical classification of awareness actions refers to the transmission of:
 - **“all clear” signals** (controlled process is in a safe state)
 - **Warnings** (perceived data signals the presence of flaws in the controlled process)
 - **Alerts** (hazard occurred in the controlled process)
 - **Algedonic signals** (special alarms and rewards that are sent directly to the controllers at the highest levels of the hierarchy when a serious condition is detected)



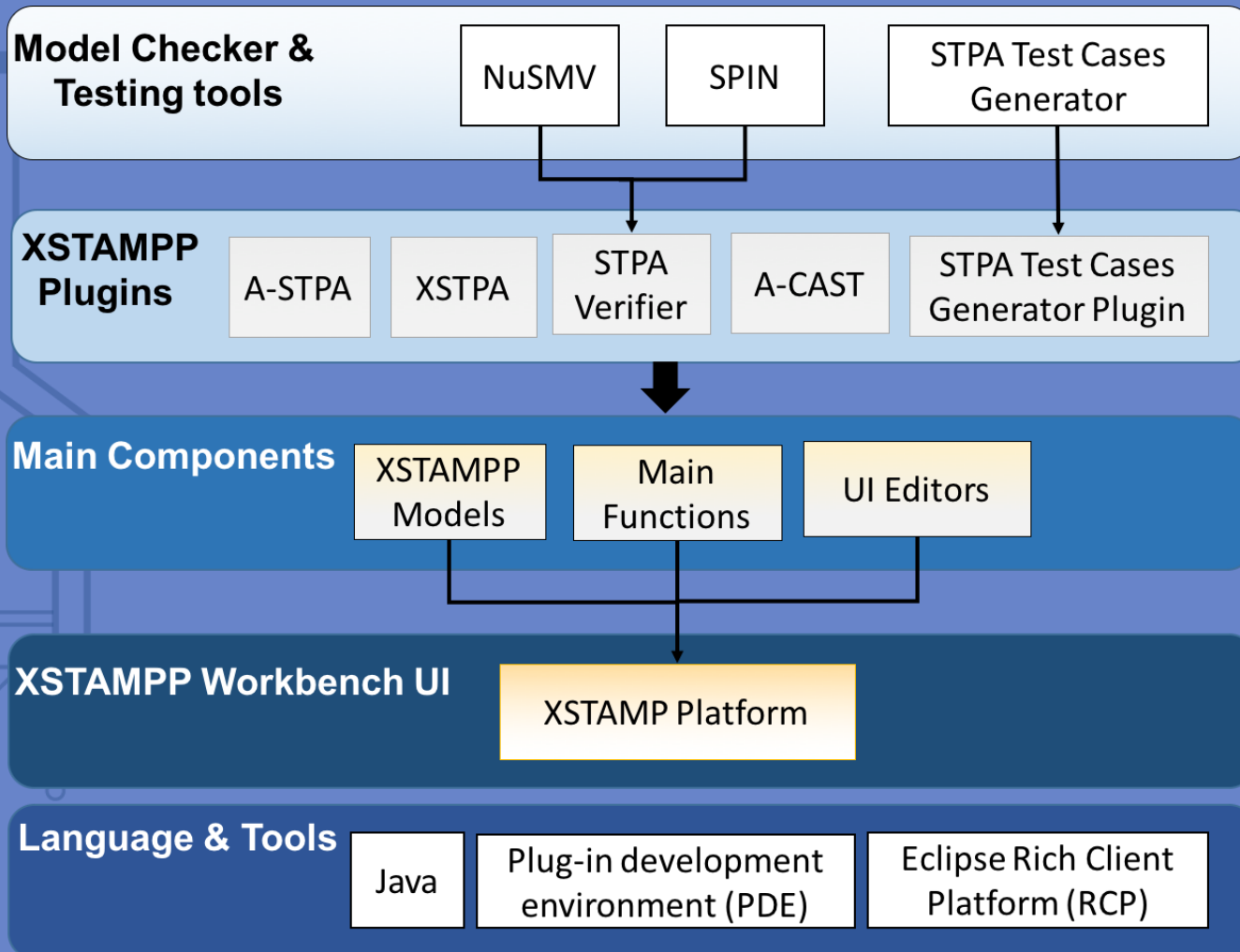
- Safety challenge: design the perceived signs and the transmitted warning signals in a way that will not contribute to system hazards:
 - Not transmitted
 - Not perceived or hard to be perceived
 - Incomprehensible
 - false



- **XSTAMPP- a tool for Safety Engineering of Software Intensive Systems**

- Developed by Institute of Software Technology, University of Stuttgart, Germany
- Support platform designed to serve the widespread adoption of STPA and to guide the users through the design process of the system
- <http://www.xstampp.de/>

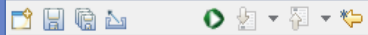
XSTAMPP



XSTAMPP

XSTAMPP -STPA Project->V2I_SafeCOP->Establish Fundamentals->System Goals

File Edit Window Help



Project Explorer

Preferences

- ▲ V2I_SafeCOP [hazy]
 - ▲ Establish Fundamentals
 - System Description
 - System Goals
 - Accidents
 - Hazards
 - Linking of Accidents and Hazards
 - Safety Constraints
 - Design Requirements
 - Control Structure
 - ▲ 1 Unsafe Control Actions
 - Control Actions
 - UnsafeControlActions Table
 - Corresponding Safety Constraints
 - ▲ 2 Causal Analysis
 - Control Structure With Process Mc
 - Context Tables
 - Refined Unsafe Control Action:
 - Refined Safety Constraints
 - Basic Scenarios
 - Causal Factors Table
 - LTL Table

XSTAMPP

File Edit Window Help

Project Explorer

- V2I-Traffic Management [haz]
- Establish Fundamentals
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Unsafe Control Actions Table

Design Requirements

Filter:

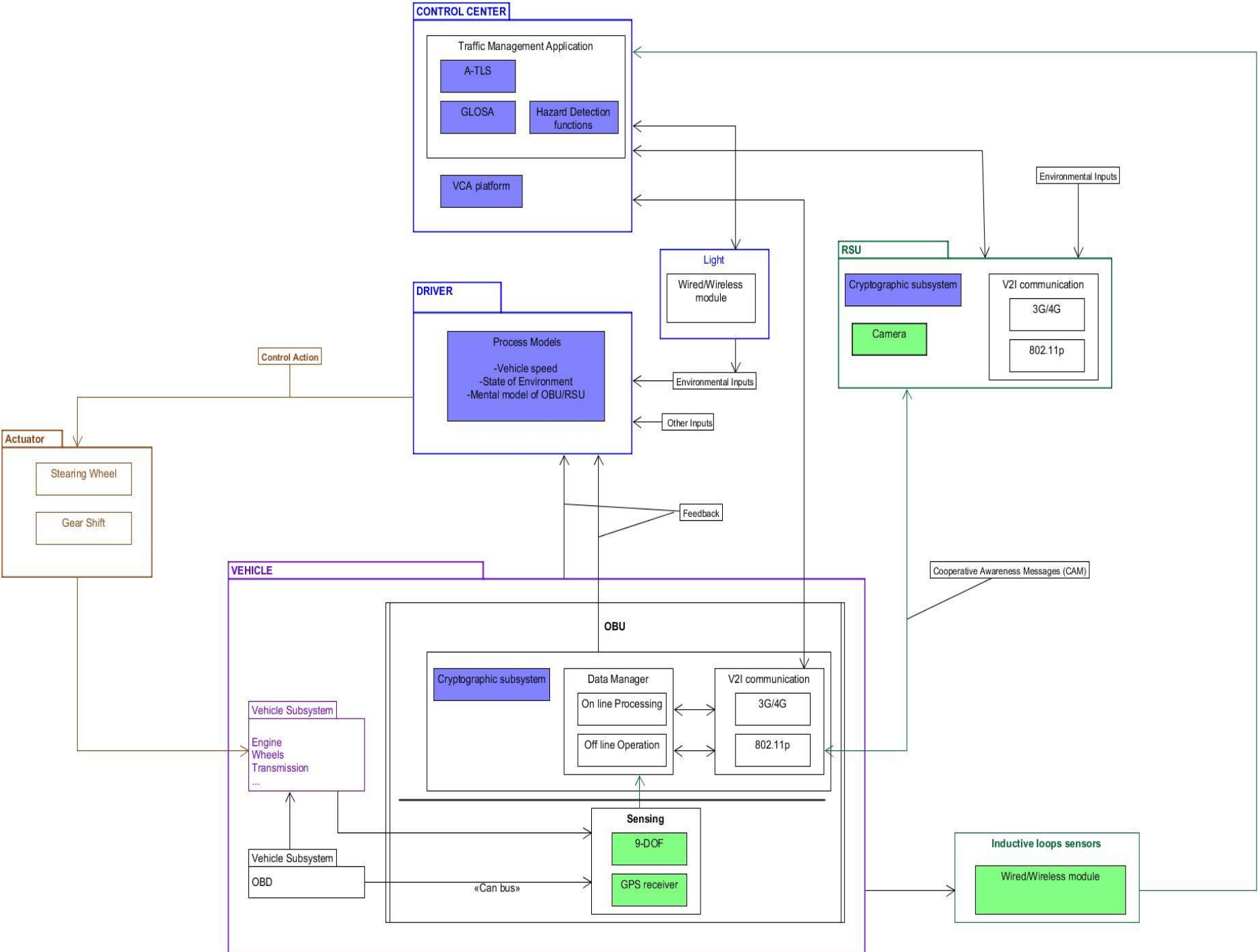
ID	Title
1	OBU watchdog
2	OBU reset loop avoidance
3	OBU deterministic timing
4	OBU operating modes
5	OBU integrity level
6	Fault notification

Description/Notes

Req. Key: UC5018
Req. Category: Safety
Req. Priority: High

The OBU shall have the possibility to operate in different "modes" according to the integrity level that can be guaranteed in the specific condition

+ X X [Navigation icons]



XSTAMPP

File Edit Window Help

Project Explorer

- V2I_SafeCOP [hazx]
 - Establish Fundamentals
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 - LTL Table

Unsafe Control Actions Table

Filter Categories Control Action

Control Action	Not providing causes hazard	Providing causes hazard	Wrong timing or order causes hazard	Stopped too soon or Applied too long	
OBU - CAM to RSU	UCA1.9 OBU does not provide notifications to the RSU when needed. [H-2] [H-4] [H-5] [H-6] [H-7]	Click to edit Not Hazardous	UCA1.8 OBU provides notification in the wrong priority order. [H-4] [H-5] [H-6] [H-7]	Add stopped too soon UCA	
	Add not given UCA	Add given incorrectly UCA	Add wrong timing UCA		
	OBU - notifier on vehicle display/audio system	UCA1.16 OBU does not provide notifications to the driver when needed. [H-4] [H-5] [H-6] [H-7]	UCA1.17 OBU provide a false positive warnign message to the driver. [H-5] [H-6] [H-7]	UCA1.18 OBU provides notifications too late to the driver. [H-4] [H-5] [H-6] [H-7]	UCA1.21 The duration of OBU notifications is below a minimum time threshold.
		Add not given UCA	Add given incorrectly UCA	UCA1.19 OBU provides consequential notifications without a minimum time threshold in between. [H-4] [H-5] [H-6] [H-7]	Add stopped too soon UCA
OBU - Awareness Mex to CC			UCA1.20 OBU provides notification in the wrong priority order. [H-4] [H-5] [H-6] [H-7]		
			Add wrong timing UCA		
			UCA1.22	UCA1.23	

XSTAMPP

XSTAMPP -STPA Project->V2I_SafeCOP->Causal Analysis->Causal Factors Table

File Edit Window Help

Project Explorer

- V2I_SafeCOP [haz]
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Causal Factors Table

Filter Categories ALL

Component	Causal Factor	Unsafe Control Action	Hazard Links	Causal Scenarios	Safety Constraint	Notes / Rationale
RSU Warning Notification	Communications congestion	UCA1.10 CONTROL CENTER does not provide notifications to the RSU when needed. ❌	H-2,H-4,H-5,H-6,H-7			Click to edit
				test		
		UCA1.10 CONTROL CENTER provides notification in the wrong priority order. ❌	H-4,H-5,H-6,H-7			Click to edit
			test			
		Add Unsafe Control Ac				
	Malicious Attack into the network	UCA1.10 CONTROL CENTER provides notification in the wrong priority order. ❌	H-4,H-5,H-6,H-7			
			test			
UCA1.15 The Traffic Light Plant Manager does not provide the setting to the traffic light when changes in time of light on/off is needed. ❌		H-4,H-6,H-7				Click to edit
		test				
	UCA1.16 The Traffic Light Plant Manager provide the needed setting changes to the traffic light too late. ❌	H-4,H-6,H-7				Click to edit
				test		



○ Conclusions

Conclusions

- The usage of XSTAMPP tool encourages the natural work flow of STPA analysis
- The tool guides also the non-expert user through the process of linking together accidents and hazard and facilitates the connection between unsafe actions and system constraints
- The Possibility to export the project in PDF and CSV extensions grants modularity with external third parts



Conclusions

- In the STPA project type of the tool is not yet possible to build hierarchical and detailed diagrams at different levels when designing complex control structure systems
- Is not yet possible to draw sub-blocks in the control structure diagram
- It is hard to edit multiple unsafe control actions in the proper table
- Some functionalities (e.g. safety constraints under causal scenarios tab) and plugins (e.g. EWaSAP) are still currently under development



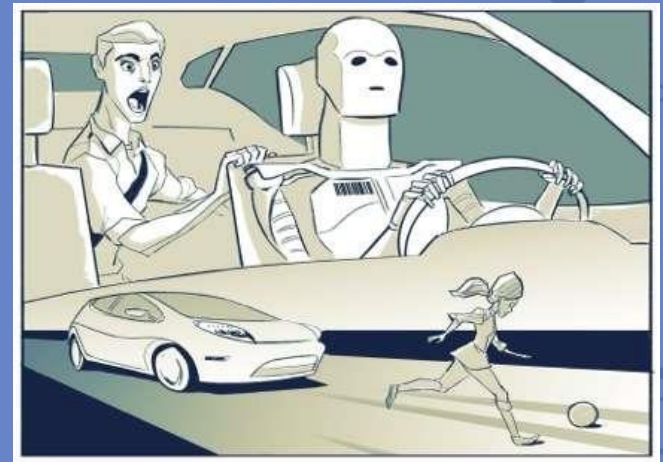
Conclusions

- Over the past years, an increasing number of sociotechnical changes are emerged making systems more complex:
 - Fastest rate of change of technologies
 - Time To Market (TTM) is getting shorter every year compared to the past decade, making harder for industries, research institutes and universities to fill the security gaps
 - The human role within the sociotechnical systems have changed
- A more holistic approach and the use of new systemic models (e.g. STAMP) should help the safety community to include new variables during the design phase of complex systems, making them safer



Conclusions

- Whilst on the one hand the V2I traffic management scenario open the doors to new applications of CO-CPS toward V2X autonomous systems, on the other it leaves room for new safety challenges that go beyond the scientific and technical focus, embracing new social, economical and political aspects.



Thank You!

The logo for Rotechnology features a large, stylized white Greek letter rho (ρ) on the left. A thick orange horizontal bar crosses the top of the rho and extends to the right. The word "echnology" is written in white, lowercase letters to the right of the rho. The letter 'c' is stylized as a circuit trace that descends from the bottom of the orange bar, loops around, and ends in two small white circles, resembling a component or connection point.

Rotechnology

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